

Information Update – Governance & Audit Workplan Status Report

To: Chair and Board of Directors
Through: President/CEO Inez Evans
From: Deputy of Governance & Audit Brian Atkinson
Date: January 21, 2021

GOVERNANCE & AUDIT ETHICS HOTLINE SUMMARY REPORT

Ethics Hotline Summary Report

Quarter Activity Summary: 10/01/20 through 12/31/20

Method of Submission	Number of Reports
Website	4
Phone call	0
Other	0
Total	4

Current Period Potential Reported Issues	Number
Ethics Violations	0
Other Issues	
Waste or Inefficiency	0
Fraud	0
Policy Violation	0
Employee Conduct/Abuse	1
Theft	0
Customer Service	0
HR Issues	3
Total	4

12-Month Activity Summary beginning Q4, 2020											
2	1	1	0	0	0	0	0	0	0	0	0
Oct-20	Nov-20	Dec-20	Jan-21	Feb-21	Mar-21	Apr-21	May-21	Jun-21	Jul-21	Aug-21	Sep-21

Current Status	Total	1-30 days	31-60 days	60+ days
Preliminary Assessment	0			
Investigation	1			
Completed	3			
Total Claims	4			

RECOMMENDATION:

Receive the report.

Brian Atkinson
Deputy of Governance & Audit